

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13768.198.6

In Re Application Of: Mark Lucovsky, et al.

Serial No.  
Not Yet Assigned

Filing Date  
October 22, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: AUTHORIZING A REQUESTING ENTITY TO OPERATE UPON DATA STRUCTURES

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

JC675 U.S. PTO  
10/003767



**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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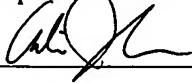
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Dated: October 22, 2001

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

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10/003767



*(Use several sheets if necessary)*

13768-06


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**Mark Lucovsky, et al.**

**October 22, 2001**

**Not Yet Assigned**

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 10/22/01

[illegible]

1	<p>Title: Security requirements for web-services;          Author Jerbic, M. ;          Report Title: Position papers for World Wide Web consortium (W3C) workshop on web services ; p p. 28-33          Issued by: Hewlett-Packard Lab., Bristol, UK ;          Publication Date: 2001 Country of Publication: UK; 50 pp.</p>
2	<p>Title: A role-based access control model for XML repositories; Author Hao He; Wong, R.K.          Conference Title: Proceedings of the First International Conference on Web Information Systems Engineering; Part vol.1          p. 138-45 vol.1 Publication Date: 2000; Country of Publication: USA; 2 vol.(xv+498+xvi+219); pp. ISBN: 0 7695 0577 5;          Material Identity Number: XX-2000-02089 Conference Title: Proceedings of WISE 2000: 1st International Conference on          Web Information Systems Engineering; Conference Date: 19-21 June 2000 Conference Location: Hong Kong, China</p>

DATE CONSIDERED

**Form PTO-A820**  
**(also form PTO-1449)**

P09A/REV04

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**SHEET 1 OF 2**

# INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

13768 6

Application Number

Not yet Assigned

Applicant(s)

Mark Lucovsky, et al.

Filing Date

October 22, 2001

Group Art Unit

Not Yet Assigned

\*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

3

Simple Object Access Protocol (SOAP) 1.1 W3C Note 08 May 2000  
Don Box, DevelopMentor; David Ehnebuske, IBM; Gopal Kakivaya, Microsoft;  
Andrew Layman, Microsoft; Noah Mendelsohn, Lotus Development Corp.; Henrik Frystyk Nielsen, Microsoft; Satish Thatte  
Microsoft; Dave Winer, UserLand Software, Inc.

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